

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Jeff L. DeJong  
Serial Number: 09/703,809  
Filing Date: October 30, 2000  
Group Art Unit: 1653  
Examiner: Carlson, Karen C.  
Title: TRANSCRIPTION FACTORS RELATED TO TFIIA

Technology Center 1600  
Reception Area, 7<sup>th</sup> Floor  
Karen C. Carlson: Sequence Information  
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**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Dear Sir:

Applicants hereby respectfully submit this Response to the Examiner's Office Action, dated May 23, 2002, due for reply by June 23, 2002. Applicants respectfully request consideration and entry of the following Substitute Sequence Listing. Attached, please find a hard copy of a Substitute Sequence Listing. A substitute computer readable form (CRF) copy of the Substitute Sequence Listing in ASCII-DOS format is provided in accordance with the requirements of 37 C.F.R. 1.825(d).

**RESPONSE**

Kindly accept and insert in the Specification, a paper copy of the Substitute Sequence Listing as required under 37 C.F.R. 1.821(e) and 1.825(a) and a diskette containing the substitute computer readable form (CRF) copy of the Substitute Sequence Listing in ASCII-DOS format in accordance with the requirements of 37 C.F.R. 1.825(d). Please cancel all previous filed paper copies of the Sequence Listing

1.825(a), information contained in the Substitute Sequence Listing finds support in the original application, as filed.

**REMARKS**

Applicants have submitted a Substitute Sequence Listing in response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures and the CRF Problem Report dated May 23, 2002. The Applicants respectfully request entry of the Substitute Sequence Listing.

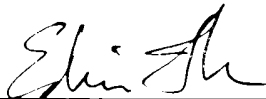
A diskette containing the substitute CRF copy of the Substitute Sequence Listing in ASCII-DOS format in accordance with the requirements of 37 C.F.R. 1.825(d) is attached and consideration of the Application is requested. The content of the Substitute Sequence Listing and the substitute CRF copy are the same as required by 37 CFR 1.825(b). No new matter is incorporated in the Specification by this Response.

For the foregoing reasons, the Substitute Sequence Listing is submitted to be in order and complies with the Sequence Rules C.F.R. 1.821-1.825.

Dated: June 12, 2002.

Respectfully submitted,

GARDERE WYNNE SEWELL LLP



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Application No.: 09/ 703809

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: the specification and the claims do not have sequence identification numbers at each sequence as required by 37 CFR 1,821(d).

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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